



Receipt

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Art Unit: 3742

**Martin et al.**

Serial No: 09/855,972

Docket No.: 62002-1751

Filed: 05/15/01

For: **Method And Apparatus For Low Energy Electron Enhanced Etching  
Of Substrates In An AC or DC Plasma Environment**

**REQUEST FOR CORRECTION TO THE FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicant hereby advises the Commissioner that the claim of priority to application ser. no. 09/705,902 filed 08/28/96 is missing on the Filing Receipt. This claim of priority was properly made in the Preliminary Amendment filed concurrently with the above-identified application.

Therefore, Applicant hereby requests that your records be corrected to reflect this proper claim of priority, and that a Corrected Filing Receipt with this information be issued to the undersigned attorney.

Respectfully submitted,

**Scott A. Horstemeyer; Reg. No. 34,183**  
Attorney for Applicant

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948

Date: 07/25/01

Customer No.: 24504

Docket: 62002-1751

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## CERTIFICATE OF MAILING

I hereby certify that the below-listed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents**

**Box:**

**Washington, D.C. 20231**

on

July 27, 2001

Julie Campbell  
Julie Campbell

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The following is a list of documents enclosed:

Return Postcard  
Request for Correction of Filing Receipt  
Copy of Original Filing Receipt

CorrectFilingReceipt



# 3742

## FILE COPY

### UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/855,972	05/15/2001	Kevin P. Martin	062002-1751

CONFIRMATION NO. 1603



Scott A. Horstemeyer  
Thomas, Kayden, Horstemeyer & Risley, L.L.P.  
Suite 1500  
100 Galleria Parkway N.W.  
Atlanta, GA 30339

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Date Mailed: 09/27/2001

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

### Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/855,972	05/15/2001	3742	355	062002-1751	6	17	2
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CONFIRMATION NO. 1603

Scott A. Horstemeyer  
Thomas, Kayden, Horstemeyer & Risley, L.L.P.  
Suite 1500  
100 Galleria Parkway N.W.  
Atlanta, GA 30339

OCT 23 2001

FILING RECEIPT

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\*QC000000006287794\*

Date Mailed: 07/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Kevin P. Martin, Atlanta, GA;  
Harry P. Gillis, Los Angeles, CA;  
Dmitri A. Choutov, Santa Clara, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A DIV OF 08/932,025 09/17/1997 PAT 6,258,287  
WHICH CLAIMS BENEFIT OF 60/026,985 09/20/1996  
AND CLAIMS BENEFIT OF 60/026,587 09/20/1996

**Foreign Applications**

If Required, Foreign Filing License Granted 07/11/2001

Projected Publication Date: 10/18/2001

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

DOCKETED

Title

Method and apparatus for low energy electron enhanced etching of substrates in an AC or DC plasma environment

**Preliminary Class**

219

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**Data entry by :** TERFA, SEBLE

**Team :** OIPE

**Date:** 07/12/2001

